Understanding the Universe through Neutrinos (and how nuclear physics helps us understand neutrinos)

EBSS 2022 - Notre Dame University

Thomas Brunner McGill University June 8, 2022

My Career Path

Studied Physics at the Technical University Munich (2001 – 2011)

- Undergraduate research project
- Diploma thesis (MSc equivalent)
 - Investigation of positronium formation on cold surfaces
- PhD project, stationed at TRIUMF, Vancouver
 - In-trap decay spectroscopy with the TITAN EBIT

Post doctoral research fellow at Stanford (2011 – 2015)

• EXO-200, nEXO, and Ba-tagging

Assistant professor at McGill (2015 – 2020)

• EXO-200, nEXO, Ba-tagging, and in-trap decay spectroscopy

Associate professor at McGill (2020 – now)

• nEXO, Ba-tagging, and in-trap decay spectroscopy



(Condensed matter physics)



Nuclear physics (decay spectroscopy and mass measurements)

Particle/neutrino/nuclear physics

McGill University in Montreal





Outline

- The Standard Model of Particle Physics and why we think there is more out there.
- A very short history about neutrinos.
- The neutrino as a candidate for a Majorana particle.
- Neutrinoless double-beta decay to probe Majorana nature of neutrinos.
- Introduction to the nEXO experiment.

Neutrinos in the Standard Model

• Neutrinos in the SM:

- Fundamental Spin ½ particle
- Are Leptons
- Only interact via the weak force
- Electrically neutral
- Most abundant particles with mass in the universe, yet we do not even know their mass
- 60 billion solar neutrinos penetrate us per cm² every second
- The Standard Model has been extremely successful in describing particle physics experiments and even predicting the existence of particles.



Some of the Big Questions in Cosmology and Particle Physics



Figure: NASA

- 95% of the mass/energy density of the Universe is of as yet unknow composition
- What is Dark Energy?
- What is Dark Matter?
- Why is matter so abundant? (and dominant over anti-matter)?
- Why is gravity so weak?
- Why are neutrinos so light?

Neutrinos may hold the key to answering some of these questions.

How it all started

Energy and angular momentum conservation



Pauli invents neutrinos 1930 to explain nuclear β decay

Dear Radioactive Ladies and Gentlemen,

... I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy [in β decay].

Pauli proposes a particle that

- Is electrically neutral
- Is a **spin** ¹/₂ particle
- Differs from light and does not travel with c
- Has mass not exceeding 0.01 proton mass



Absohrift/15.12.5

Offener Brief an die Gruppe der Madicaktiven bei der Geuvereinz-Tagung zu Tübingen.

Absobrigt

Physikelisches Institut der Eidg. Technischen Hochschule Wurich

Zirich, 4. Des. 1930 Dioriastrance

Liebe Radioaktive Damen und Herren;

Wie der Veberbringer dieser Zeilen, den ich huldvollet ansuhören bitte, Ihnen des näheren auseinendersetten wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuisrlichen bete-Spektrung auf einen versweifelten Ausweg verfallen um den "Wecheelsats" (1) der Statistik und den Energienats su retten. Mamilich die Möglichkeit, es könnten elektrisch neutrale Teiloben, die ich Neutronen nennen will, in den Lernen existioren, Velche den Spin 1/2 heben und das Ausschliessungsprinzip befolgen und iden von Lichtquanten musserden noch dadurch unterscheiden, dass sie whet wit Lightgeschwindigkeit laufen. Die Masse der Neutronen figure was deralben Grossenordnung wie die Elektronensesse sein und jedenfalls might grosser als 0.01 Protonermasses- Das kontinuierliche bela- Spektrum würe dann varständlich unter der Annahme, dass beim beta-Zerfall mit dem blektron jeweils noch ein Meutron emittiert wird, depart, dass die Summe der Energien von Meutron und klektron konstant ist.

Pauli invents neutrinos 1930 to explain nuclear β decay

Dear Radioactive Ladies and Gentlemen,

... I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy [in β decay].

@pauli

have done a terrible thing. I have postulated a particle that cannot be detected. #Desperate-measure



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Neutrino detection not impossible – only challenging

Neutrino-Matter Cross Section

Photon-Matter Cross Section



June 8, 2022

Radioprotection from neutrinos ?

• Mean free path of neutrinos from a reactor in lead is ~ 0.3 light years !



 A big nuclear reactor makes 6 x 10²⁰ neutrinos/s: at 20 meter distance (just outside the building) only one neutrino every 3 sec interacts with our body !



Bethe & Peierls 1934: "... this implies that one evidently never will be able to detect Neutrinos."

1956: Cowan and Reines detects neutrinos

Detection through inverse β decay



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1956: Cowan and Reines detects neutrinos

Detection through inverse β decay

~ 2.9 events/hour were observed in ~200 I water (~40 kg CdCl)! (or about 1 in 10²⁰ antineutrinos produced)

The signal went away when the Reactor power was turned down.



Nobel Price 1995 F. Reines



1956: Cowan and Reines detects neutrinos

Detection through inverse β decay

"[Prof. Pauli], we are happy to inform you that we have definitely **detected neutrinos** from fission fragments by observing inverse beta decay of protons."

- F. Reines and C. Cowan (1956)

"Everything comes to him who knows how to wait." - W. Pauli (1956)



Nobel Price 1995 F. Reines



Detecting Neutrinos from the Sun



Complex fusion reactions take place inside the sun.

Detecting Neutrinos from the Sun

 ${}^{37}\text{Cl} + v_e = {}^{37}\text{Ar} + e^{-1}$

600 tons of dry clean fluid

Produced at only 15 atoms per month !



The Homestake detector was built by Ray Davis (Nobel Prize 2002) to test John Bahcall's Standard Solar Model.



Detecting Neutrinos from the Sun

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Solar Neutrino Puzzle



Photo: Courtesy of Raymond Davis, Jr. and John Bahcall



Average (1970–1994) $2.56 \pm 0.16_{stat} \pm 0.16_{sys}$ SNU (SNU = Solar Neutrino Unit = 1 Absorption / sec / 10³⁶ Atoms) Theoretical Prediction 6–9 SNU "Solar Neutrino Problem" since 1968

Far too few (~1/3) solar neutrinos were seen compared to predicted solar production !

Where are the missing neutrinos?



Astrophysi Wrong? Experiment Wrong?



Neutrino Change Flavors?

Bruno Pontecorvo

The Super-Kamiokande Detector



11,200 photomultiplier tubes

The Super-Kamiokande Detector



Atmospheric Neutrino

Graphics: SuperK

SuperK results

Takaaki Kajita

Nobel Prize 2015

Super-K @Neutrino98 Super-K (2015) 0 1000 No oscillation (b) FC μ-like + PC of Events of Events 1200 150 AHHHH ATATA 500 CHHHH Number 100 neutrino Number oscillation 256 50 **Multi-GeV** μ-like + PC 5485 0 0 0 0 -(osf) cos zenith

Takaaki Kajita, Nobel Prize Lecture, 2015

Number of events plotted:

531 events

5485 events

The Sun imaged with neutrinos!

SuperK cannot provide solution to the missing v_e from the sun, but...

While sunlight takes about 30,000 years to work its way out from the center to the surface of the Sun, neutrinos take just two seconds

→ Neutrinos can be used as probes for nuclear processes

Sudbury Neutrino Observatory (SNO)

Sudbury Neutrino Observatory

Sudbury Neutrino Observatory: combine CC, NC sensitivity

- Measure both v_e disappearance AND total v_X flux
- Confirm where missing electron neutrinos went

1000 tonnes of ultra-pure heavy water (D_2O) housed in a clear acrylic vessel 12 m in diameter, located a mile underground in a nickel mine in Sudbury, Ontario, Canada.

Solving the solar neutrino problem (2002)

Art MacDonald Nobel Prize (2015)

Missing neutrinos changed into other flavors!

Neutrino mixing, mass, and 0vββ

In Quantum Mechanics there are 2 representations for our neutrinos if $m_v \neq 0$:

 $(\begin{matrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \end{matrix}) = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \mathbf{v}_{m1} \\ \mathbf{v}_{m2} \\ \mathbf{v}_{m3} \end{pmatrix}$

 $\begin{aligned}
\nu_{m1}(t) &= e^{-i(E_{1}t - p_{1}L)} \nu_{m1} \\
\nu_{m2}(t) &= e^{-i(E_{2}t - p_{2}L)} \nu_{m2} \\
\nu_{m3}(t) &= e^{-i(E_{3}t - p_{3}L)} \nu_{m3}
\end{aligned}$

"Weak interaction eigenstate" this is the state of definite flavor: interactions couple to this state "Mass eigenstate" this is the state of definite energy: propagation happens in this state E_i=m_ic² Evolve in time with m_i & E

- The elements of the MNSP matrix are determined in oscillation experiments.
- Oscillation experiments can only determine Δm_{ij}^2 , the squared mass difference between two eigenstates.

Many exciting results in neutrino oscillations (partial list)

Atmospheric neutrino oscillation experiments

Accelerator based neutrino oscillation experiments

3 flavor(type) neutrino oscillation experiments

Solar neutrino oscillation experiments

Measuring Neutrino Masses

m of v_e from β endpoint

$$m_{\beta} = \sqrt{\sum_{i=1}^{3} |U_{ei}|^2 m_i}$$

- Direct measurement
- Upper limit: 0.8 eV [Nature 2022]
- KATRIN, Project8, ECHo, HOLMES

Observational Cosmology

$$\sum = \sum m_i$$

- Multi-parameter cosmological model
- Upper limit: ~0.11 0.54 eV*
- Planck satelite

*source: PDG 2020: Neutrinos in Cosmology

See <u>https://neutrino2022.org</u> for any question about neutrinos.

What we know about neutrinos

Spin ¹/₂ Fermion Mass spectrum

- Neutrinos are 6 orders of magnitude lighter than the next heavy particle.
- What determines the mass scale hierarchy of elementary particles?
- Is the Higgs mechanism responsible for neutrino mass?
- Perhaps neutrinos are very different from other fermions, such as a Majorana particle?

Electrically neutral neutrinos

Could it be that the mass and charge peculiarities are somehow related?

Say that for neutrinos $\overline{v} = v$, since they have no charge...

But... isn't there a lepton number to conserve?

No worries: lepton number conservation is not as "serious" as -say- energy conservation

Lepton number conservation is just an empirical notion.

Basically, lepton number is conserved "because", experimentally, $\overline{v} \neq v$. But the distinction could derive from the different helicity states.

Quantum Nature of the Neutrino

Which way Nature chose to proceed is an open experimental question, although Majorana neutrinos are favored by theory.

The two descriptions are distinct and distinguishable only if $m_v \neq 0$.

Matter-Antimatter Asymmetry

Nothing in our theory tells us why there seems to be so much more matter than antimatter in the Universe.

This is a pretty big **asymmetry**, so we should look for symmetry violations.

Neutrinos could be the key!

The matter-antimatter asymmetry

"The excess of matter over antimatter in the universe is one of the most compelling mysteries in all of science. The observation of neutrinoless double beta decay in nuclei would immediately demonstrate that neutrinos are their own antiparticles and would have profound implications for our understanding of the matter-antimatter mystery." [NSAC 2015]

How do we generate a matter-antimatter asymmetry?

Sakharov (1967) conditions for baryogenesis:

- 1. Baryon number violation
- 2. C and CP violation
- 3. Out of thermal equilibrium

Instead of starting with a baryon number violating process (baryogensis), leptogenesis relies on violating **lepton number**, *then* converting *L* into *B*.

Neutrinos could be the key to explaining the matter-antimatter asymmetry in the universe...

How can we determine if $v = \overline{v}$?

The answer may be neutrinoless $\beta\beta$ decay

Double Beta Decay

Maria Goeppert Mayer

Ettore Majorana

Two neutrino double beta decay

 $^{136}_{54}Xe \rightarrow ^{136}_{56}Ba^{++} + 2e^{-} + 2v_{e}$

1935 Maria Goeppert Mayer first proposed the idea of two neutrino double beta decay

1987 first direct observation in ⁸²Se by M. Moe

Neutrinoless double beta decay

 $^{136}_{54}Xe \rightarrow ^{136}_{56}Ba^{++} + 2e^{-}$

1937 Ettore Majorana proposed the theory of Majorana fermions

1939 Wendell Furry proposed neutrino less double beta decay

Black Box Theorem

- "Black box" theorem*: Observation of 0vββ always implies **new physics**:
 - Majorana neutrinos
 - Lepton number violation
 - Help explain observed cosmic baryon asymmetry → leptogenesis

*J. Schechter, and J. W. F. Valle, Phys. Rev. D25, 2951 (1982)

2v Double Beta Decay

EXO-200

and nEXO

- Second-order weak nuclear process
- First-order beta decay is forbidden energetically or by spin $\rightarrow \beta\beta$ is detectable

35 $2\nu\beta\beta$ nuclei found

ββ- <mark>decay nuclei</mark> with Q > 2 MeV	Q (MeV)	Abund. (%)
$^{48} ext{Ca} ightarrow ^{48} ext{Ti}$	4.271	0.187
76 Ge $ ightarrow$ 76 Se	2.040	7.8
82 Se $ ightarrow$ 82 Kr	2.995	9.2
96 Zr $ ightarrow$ 96 Ru	3.350	2.8
100 Mo $ ightarrow$ 100Ru	3.034	9.7
$^{110}\mathrm{Pd} \rightarrow ^{110}\mathrm{Cd}$	2.013	11.8
116 Cd $ ightarrow$ 116 Sn	2.802	7.5
124 Sn $ ightarrow$ 124 Te	2.228	5.8
130 Te $ ightarrow$ 130 Xe	2.528	34.2
136 Xe $ ightarrow$ 136 Ba	2.479	8.9
150 Nd $ ightarrow$ 150 Sm	3.367	5.6

Challenges of double- β decay experiments

- ε detection efficiency
- A isotopic abundance
- M active mass
- T exposure
- b background rate
- ΔE energy resolution

- $T_{1/2}^{0v} > 10^{25}$ years !! \rightarrow Need:
 - \circ high target mass
 - \circ high exposure
 - $\,\circ\,$ low background rate
 - \circ good energy resolution

Natural radiation decay rates

A banana~10 decays/sA bicycle tire~0.3 decays/s1 l outdoor air~1 decay/min100 kg of 136 Xe (2v)~1 decay/10 min

 $0\nu\beta\beta$ decay >10,000 x rarer than $2\nu\beta\beta$ Age of universe 1.4 x 10^{10} years

Courtesy G. Gratta

June 8, 2022

Year

Not all results are necessarily shown.

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Double Beta Decay

 $0 \nu \beta \beta$ – Can only happen for Majorana neutrinos! $T_{1/2}$ > 10²⁵ y! June 8, 2022

β

0vββ and Neutrino Mass

$$\langle m_{\beta\beta} \rangle = \left| \sum_{i=1}^{3} U_{ei}^{2} m_{i} e^{i\alpha_{i}} \right|$$

Mixing matrix
Majorana phase
mass eigenvalues

mass eigenvalues, therefore cancellations are possible...

Three Caveats:

- Neutrino is a Majorana particle
- Light Majorana neutrino being the dominate decay mechanism
- Reliable calculation of matrix elements

Nuclear Matrix Element Situation

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2} G^{0\nu} g_A^4 |M_{\nu}^{0\nu}|^2$$

- Matrix element calculation is very difficult, in particular for big nuclei, which most of the 0vββ candidates are.
- Recent theoretical progress has narrowed the difference between models, but significant spread remains, difficult to estimate uncertainty.
- As a result, a particular half life results in a spread of calculated $\langle m_{\beta\beta} \rangle$.

Nuclear Physics Situation: is g_A quenched?

 $g_A^{free} = 1.27$ being the free-nucleon axial-vector coupling measured in neutron beta decay. $g_A^{eff} = q \times g_A^{free}$ with quenching factor q.

[...] observed β -decay rates in nuclei have been found to be systematically smaller than for free neutrons: [...] by a factor of about 0.75 [...] we demonstrate that **this quenching arises to a large extent from the coupling of the weak force to two nucleons** as well as from strong correlations in the nucleus. [...] state-of-the-art computations of β -decays from light- and medium mass nuclei to ¹⁰⁰Sn by **combining effective field theories** of the strong and weak forces with **powerful quantum many-body techniques**. [...] have **implications for [...] the neutrino-less double-\beta-decay, where an analogous quenching puzzle is a source of uncertainty in extracting the neutrino mass scale.**

[Nature Physics, 15(2019)428]

Progress in Nuclear Structure Theory

- Huge advances in nuclear theory
 - Quality and reach of *Ab initio* calculations
 - Refined chiral effective field theories and phenomenological calculations
- Hugh predictive power
 - Need to validate under extreme conditions (outskirts of the nuclear chart)
 - →Need of high-quality nuclear data from experiments at radioactive ion beam facilities!

(decay properties, masses, etc.)

• Refined NMEs anticipated

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2} G^{0\nu} g_A^4 |M^{0\nu}|^2$$

Progress in *ab initio* nuclear structure calculations over the past decade

H. Hergert, Frontiers in Physics 8 (2020) 379

June 8, 2022

Proposed next-generation Ονββ experiments

Disclaimer: These are two of several next-generation experiments that I consider most exciting!

LEGEND-1000

- 1T Ge enriched at 90 % ⁷⁶Ge
- arXiv:2107.11462
- Technology demonstrated with GERDA, MAJORANA, LEGEND-200

nEXO

- 5T Xe enriched at 90 % ¹³⁶Xe
- arXiv:1805.11142
- Technology demonstrated with EXO-200

June 8, 2022

Cosmic Ray Background

Source: CERN

• Cosmic rays striking the upper atmosphere will create a shower of subatomic particles, including energetic muons.

• Cosmic muons can create radioactive isotopes via spallation, neutron activation and other nuclear processes.

• When muon goes through a detector, it can produce radioactive isotopes directly inside the detector.

• Muon can also produce secondary particles in material outside the detector such as fast neutrons, which later interact with the detector material.

Going Underground....

• By going to deeper underground lab, one can effectively shield against cosmic muons.

 At 6600 m.w.e., Jinping lab in Sichuan, China is the deepest underground lab, with a muon flux of ~50/m²/yr, 9 order of magnitude reduction compared to sea level

• The muon angular and energy distribution depends on the depth, so Monte Carlo simulation is needed to understand the full background from the cosmic ray.

EXO-200 @ WIPP mine Being on shift can be a very special experience nEXO is anticipated at SNOLAB

EXO's search for $0\nu\beta\beta$ in ¹³⁶Xe with liquid Xe TPC

Segmented Anode

Liquid-Xe Time Projection Chamber (TPC)

- Xe is used both as the source and detection medium.
- LXe is continuously recirculated and purified.
- No long-lived cosmogenically activated Xe isotopes
- LXe TPC are well understood.
- Monolithic detector structure enables excellent background rejection capabilities.
- Multiparameter measurement from detection of scintillation light and ionization signal:
 - 1. Energy from combined scintillation/ionization
 - 2. Topology, e.g., single-site or multi-site
 - 3. Position distribution from 3D event reconstruction
 - 4. Particle identification from scintillation/ionization ratio

Energy measurement (EXO-200 data)

Scintillation vs. ionization, ²²⁸Th calibration:

Reconstructed energy, ²²⁸Th calibration:

- Anticorrelation between scintillation and ionization in LXe known since early EXO R&D and now standard in LXe detectors [E.Conti et al. Phys Rev B 68 (2003) 054201]
- Rotation angle determined weekly using ²²⁸Th source data, defined as angle which gives best rotated resolution
- EXO-200 has achieved ~ 1.15% (arxiv:1906.02723) energy resolution at the double-beta decay Q value in Phase II

Event Position and Multiplicity

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Picture: 10 x 10 cm² tile prototype

The nEXO detector

- 5 t liquid xenon TPC similar to EXO-200 (~30x the volume).
- SiPM for 175nm scintillation light detection, ~4.5m² SiPM array in LXe.
- Tiles for charge read out in LXe.
- Cold electronics inside TPC in liquid Xe.
- 3D event reconstruction.
- Combine charge and light readout. Goal $\rightarrow \sigma/E$ of <1% at Q-value.
- 1.5 ktonnes water-Cherenkov detector for muon tagging and shielding.

Proposed next-generation 0vββ experiments

• 3σ discovery potential for most NME reaching beyond inverted ordering further into normal ordering

	m_{etaeta} [meV], (median* NME)	
	90% excl. sens.	3σ discov. potential
nEXO	8.2	11.1
LEGEND	10.4	11.5
CUPID	12.9	15.0

[<u>1</u>]
[<u>2</u>]
<u>3</u>

[1] nEXO collaboration, arXiv:2106.16243[2] LEGEND pCDR, arXiv: 2107.11462[3] CUPID pCDR, arXiv:1907.09376

*Median shown to guide the eye; NME is not a statistical value \rightarrow There is only one correct NME.

nEXO Projected Sensitivity

 Projected sensitivities of these experiments are beyond 10²⁸ years.

0vββ Discovery Potential

Summary

- Neutrino physics has delivered many surprising discoveries
- The search for $0\nu\beta\beta$ is the most promising approach to determine the quantum nature of neutrinos: Dirac versus Majorana
- An observation of neutrinoless double beta decay could help explain the observed matter-antimatter asymmetry in the universe
- Next-generation 0vββ are being designed to reach sensitivities beyond 10²⁸ years (this is 10¹⁸ times the age of the Universe!)
- An observation of 0vββ always implies physics beyond the Standard Model, independent of the underlying process!

Neutrinos, these ghostly particles will continue to surprise us!

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and many more who may not be aware that they shared their material

Please contact me if you have any questions: Thomas.brunner@mcgill.ca